



## **Business Continuity Plan Disclosure Statement**

Ares Management Capital Markets LLC (“ACM”) has developed a Business Continuity Plan concerning how we would respond to events that significantly disrupt our business. Since the timing and impact of disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

### **Contacting Us**

If after a significant disruption you cannot contact us as you usually do at 310-432-8708 you should contact our alternative number 212-808-1108. If you cannot contact us through either of those numbers, you should go to the website of our parent company, Ares Management LLC at [www.aresmgmt.com](http://www.aresmgmt.com), where important information will be posted and updated as necessary. Deliver of funds and securities is not applicable to AMCM. Contact information for applicable institutions is generally located on client statements.

### **Our Business Continuity Plan**

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making financial and operational assessment, and protecting our firm’s books and records. In short, our Business Continuity Plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, employees, and regulators; alternative physical location of employees; critical supplier, contractor, bank and counterparty impact; and regulatory reporting.

### **Varying Disruptions**

Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business as quickly as possible. In either situation, we plan to continue in business and notify you via telephone, email or fax on how to contact us.

### **For More Information**

If you have questions about our business continuity planning, you can contact us at 310-432-8708.

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**Ares Management Capital Markets LLC**

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